



IIFL
Mutual Fund

IIFL Nifty ETF
(An open ended Index Exchange Traded Fund)

APPLICATION FORM

Investors must read the Scheme Information Document/ Key Information Memorandum and Instructions before completing this form.

New Fund Offer Opens on: September 28, 2011 **New Fund Offer Closes on: October 12, 2011** Application No.

Offer for Units having face value of ₹10/- Per Unit for cash at a premium approximately equal to the difference between the face value and allotment price during the New fund Offer Period and at NAV based prices upon re-opening

Investment Advisor's Name & ARN ARN-97821 (or Name/ ARN No.)	Sub Broker Name & Code	Collection Centre
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ARN Declaration: Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

1. Applicant Personal Details (Please Fill in Block Letters) Refer instruction No. 2. Name should be in the same sequence as appearing in your demat account. In case the names in the application differ with the sequence in demat account provided by you, the Application is liable to get rejected.

Folio No.

Name of First applicant (Mr/Ms/Mrs/Ms)

Name of Second applicant (Mr/Ms/Mrs/Ms)

Name of Third applicant (Mr/Ms/Mrs/Ms)

PAN of First applicant	<input type="text"/>	Date of Birth	<input type="text"/>	KYC Complied	<input type="checkbox"/>
PAN of Second applicant	<input type="text"/>	Date of Birth	<input type="text"/>	KYC Complied	<input type="checkbox"/>
PAN of Third applicant	<input type="text"/>	Date of Birth	<input type="text"/>	KYC Complied	<input type="checkbox"/>
Guardian Name/POA Holder	<input type="text"/>	Date of Birth	<input type="text"/>	KYC Complied	<input type="checkbox"/>

Guardian Name (if first applicant is minor) /Contact person-Designation/ POA Holder (in case of Non-Individual Investors)

Relationship of Guardian
(Refer instruction No. 2(ii))

STATUS (Please tick (✓))

☐ Resident Individual ☐ Partnership/proprietorship Firm ☐ Body Corporate ☐ FII ☐ QFI ☐ Private Limited Company ☐ Registered Society ☐ Trust ☐ NRI/NRO
☐ Public Limited Company ☐ PF/Gratuity/Pension ☐ Mutual Fund FOF Scheme ☐ HUF ☐ Mutual Fund ☐ Superannuation Fund ☐ Others _____

OCCUPATION (Please tick (✓))

☐ Business ☐ Professional ☐ Housewife ☐ Service ☐ Retired ☐ Student ☐ Others _____

MODE OF HOLDING (Please tick (✓))

☐ Joint ☐ Single ☐ Anyone or Survivor (Default option is Anyone or Survivor)

Mailing Address

City PIN State

Overseas Address (for NRIs/ FIIs) (for NRI/ FII application in addition to mailing address above)

City PIN State Country

Contact Person (Mr/Ms/Mrs) (for institutional clients)

Off. Tel.: Res. Tel.: Fax Mobile

Email ID

2. Documents submitted (Please tick (✓)) (Refer instruction No. 2(iv))

☐ Board/ Committee Resolution/ Authority Letter ☐ Memorandum & Articles of Association ☐ Trust Deed ☐ Partnership Deed ☐ Bye-laws
☐ Overseas Auditors Certificates ☐ List of Authorised Signatories with names, designations & specimen signatures ☐ Third Party Declaration

3. Investment Details

IIFL Nifty ETF

4. Communication (Please tick (✓)) (Refer instruction No. 7)

I/ We wish to receive the following document(s) via E-mail instead of Physical mode ☐ Allotment Advice ☐ Annual Report ☐ Other Statutory Information

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)



Application No. **ARN-97821**

Date _____

Received from Mr/Ms/Mrs/Ms _____

Total Amount/ Amount blocked: ₹In figures _____

Rupees in words _____

Cheque/DD No. _____ Dated _____

Drawn on Bank _____

Please tick (✓) **ENCLOSED** ☐ PAN Proof ☐ KYC Complied ☐ ASBA Application Form ☐ Third Party Declaration

Collection Centre/ IIFLAMC
Stamp and signature

Bank Name

Branch City

AccountNo

MICR Code: (This is a 9 digit number next to your Cheque No., please attached an extra blank cancelled cheque or a clear photocopy of a cheque)

AccountType: ☐ Current ☐ Savings ☐ NRO ☐ NRE ☐ FCNR ☐ Others (Please specify) _____

IFSC Code (this is 11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your bank)

Bank Name	
Bank Branch	Account No.

* To be filled in by investors residing at the location, where the AMC Branches/CAMS Investor Service Centres are not located. Minimum of Rs. 5,000/- and in multiples of Rs. 1/- thereafter during the New Fund Offer period.

The Applicant may note that in case the DPID, Client ID and PAN Number mentioned in the ASBA Form and entered in the Electronic System of the Stock Exchanges do not match with DPID, Client ID and PAN Number disclosed in Depository Data Base the Application is liable to be rejected.

The Nomination details will be as provided in your demat account.

2. Demat Account details are **COMPULSORY** for investing in this Scheme.

Third Applicant

Date & Time of Submission 04/12/2014